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S&H Form: (2/01)  
DOCKET NO. 1405.1023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yasuhide MATSUMOTO et al.

Serial No: 09/628,190

Group Art Unit: 2157

Confirmation No. 2686

Filed: July 28, 2000

Examiner: Lashonda T. Jacobs

For: METHOD OF AND SYSTEM FOR CREATING PROFILES

**COMMUNICATION TO THE EXAMINER**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement was filed on October 24, 2005. A copy of this Information Disclosure Statement is attached.

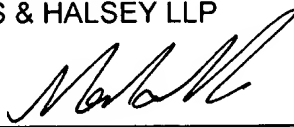
It is respectfully requested that the Examiner return a copy of the Form PTO-1449 and Attachment 1(g) which accompanies the Information Disclosure Statement, marked to indicate that the references listed therein have been considered by the Office.

Because the application has been allowed, this matter is somewhat urgent.

Respectfully submitted,

STAAS & HALSEY LLP

Date: November 1, 2006

By:   
Mehdi D. Sheikerz  
Registration No. 41,307

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Please Date Stamp and return.



COPY

Information Disclosure Statement with PTO Form 1449, Attachment 1(e) (3 pages) and Attachment 1(g) (1 page); Japanese language Office Action (5 pages); four references (three references with an English language Abstracts thereof)

APPLICANT(S): Yasuhide MATSUMOTO et al.

SERIAL NO: 09/628,190

CONFIRMATION NO. 2686

TITLE: METHOD OF AND SYSTEM FOR CREATING PROFILES

FILING DATE: July 28, 2000

DOCKET NO: 1405.1023/MS :na

DUE DATE: November 2, 2005

Please Date Stamp and return

Information Disclosure Statement with PTO Form 1449, Attachment 1(e) (3 pages) and Attachment 1(g) (1 page); Japanese language Office Action (5 pages); four references (three references with an English language Abstracts thereof)

APPLICANT(S): Yasuhide MATSUMOTO et al.

SERIAL NO: 09/628,190

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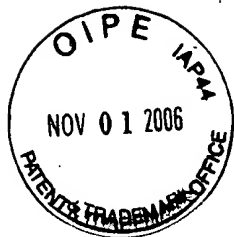
FILING DATE: July 28, 2000

DOCKET NO: 1405.1023/MS :na

DUE DATE: November 2, 2005



1



# COPY

Attorney Docket No. 1405.1023

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yasuhide MATSUMOTO et al.

Application No.: 09/628,190

Group Art Unit: 2157

Filed: July 28, 2000

Examiner: Lashonda T. Jacobs

For: METHOD OF AND SYSTEM FOR CREATING PROFILES

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copies of IDS citations, except for U.S. Patents and U.S. Patent Application publications.
- 1c. ☐ English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
- 1d. ☒ English language translation (Abstract only) attached to non-English language publications as indicated on the attached Form PTO-1449.
- 1e. ☒ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of non-English publications.
- 1f. ☐ List of Copending Applications (ATTACHMENT 1(f), hereto).
- 1g. ☒ List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).

2. ☐ This Information Disclosure Statement is filed under 37 CFR § 1.97(b):

*(Check either Item 2a or 2b or 2c or 2d)*

- 2a. ☐ Within three months of the filing date of a national application;
- 2b. ☐ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
- 2c. ☐ Before the mailing of a first Office Action on the merits; or
- 2d. ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.

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2

Serial No.: 09/628,190

3. ☒ This Information Disclosure Statement is filed under 37 CFR § 1.97(c) after the period specified in paragraph 2 above but before the mailing date of any of a Final Office Action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution in the application, AND

*(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)*

- 3a. ☒ The § 1.97(e) Statement in Item 5 below is applicable; OR  
3b. ☐ The \$180.00 fee set forth in 37 CFR § 1.17(p) is:  
☐ enclosed.  
☐ to be charged to Deposit Account No. 19-3935.

4. ☐ This Information Disclosure Statement is filed under 37 CFR § 1.97(d) after the period specified in paragraph 3 above, but on or before payment of the Issue Fee, AND

- 4a. ☐ The § 1.97(e) Statement in Item 5 below is applicable; AND  
4b. ☐ The \$180.00 fee set forth in 37 CFR § 1.17(p) is:  
☐ enclosed.  
☐ to be charged to Deposit Account No. 19-3935.

5. ☒ Statement under § 1.97(e) *(applicable if Item 3a or Item 4a is checked)*  
*(Check either Item 5a or 5b)*

- 5a. ☒ In accordance with 37 CFR § 1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.  
5b. ☐ In accordance with 37 CFR § 1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR § 1.53(b).

*(Check appropriate Items 6a and/or 6b)*

- 6a. ☐ Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No. \_\_, filed on \_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).  
6b. ☐ Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No. \_\_, filed on \_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.

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3

Serial No.: 09/628,190

7. ☐ This is a Request for Continued Examination under 37 CFR § 1.114.  
(Check either Item 7a or 7b)
- 7a. ☐ The Issue Fee has not been paid.
- 7b. ☐ A Petition to Withdraw from issue under 37 CFR § 1.313(c) is filed concurrently herewith or has been granted. A Request for Continued Examination under 37 CFR § 1.114, after payment of the Issue Fee, is proper in accordance with 37 CFR § 1.114(a), respectively.
8. ☐ This is a Supplemental Information Disclosure Statement.  
(Check either Item 8a or 8b)
- 8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR § 1.97(f) supplements the Information Disclosure Statement filed on \_\_\_\_\_. A bona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if properly filed on \_\_\_\_\_.
- 8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §§ 1.97 and 1.98, mailed \_\_\_\_\_.
9. ☒ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:  
(Check appropriate Items 9a, 9b, 9c and/or 9d)
- 9a. ☐ satisfied for the non-English language publication(s) cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed., Rev. 2)
- 9b. ☐ set forth in the application.
- 9c. ☒ satisfied for the non-English language publication(s) indicated on the attached Form PTO-1449 as having an English language translation (complete or relevant portion(s)) attached thereto.
- 9d. ☒ enclosed as Attachment 1(e), hereto.
10. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

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4

Serial No.: 09/628,190

11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement to Deposit Account No. 19-3935.

Respectfully submitted,  
STAAS & HALSEY LLP

Dated: October 24, 2005  
1201 New York Ave., N.W., 7th Floor  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

By:   
Mehdi D. Sheikerz  
Registration No. 41,307



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Sheet 1 of 1

FORM PTO-1449  <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO.	APPLICATION NO.
	1405.1023	09/628,190
	FIRST NAMED INVENTOR	
	Yasuhide MATSUMOTO et al.	
	FILING DATE	GROUP ART UNIT
	July 28, 2000	2157

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	2001/11273	Aug. 2, 2001	Matsui et al.			
	AB						
	AC						
	AD						

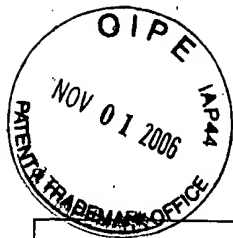
**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
	AE	09-212505	Aug. 15, 1997	Japan		X	X
	AF	11-136276	May 21, 1999	Japan		X	X
	AG	11-96101 (corresponds to U.S. Publication No. 2001/0011273)	Apr. 9, 1999	Japan	X		X
	AH						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

			TRANSLATION YES NO	
	AI	Zoku Dennomou Kohikan, C. Magazine, SoftBank Corp., Vol. 9, No. 11, November 1, 1997, pp. 77-86		X
	AJ			

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



COPY

ATTACHMENT 1(e)

<b>EXPLANATIONS OF RELEVANCY OF REFERENCES</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1405.1023	09/628,190
	FIRST NAMED INVENTOR	
	Yasuhide MATSUMOTO et al.	
	FILING DATE	GROUP PART UNIT
	July 28, 2000	2157

Page 1 of 3

Japanese Publication No. 11-136276	<p>Discloses an electronic bulletin board system. In this system, user names and keywords indicating contents of messages that users have posted are managed in a table form (0051 and Fig. 13)</p> <p>[0051]</p> <p>User model 13 is created by a user model update unit 12. As shown in Fig. 13, for example, it manages user names and keywords indicating contents of messages that users have posted in a table form. The keywords indicating contents of messages that users have posted are determined by obtaining a logical sum of keywords included in the messages that each user has posted so far. It is also possible to adopt a method in which keywords relating to matters that each user is interested in can be registered in the user model 13.</p>
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ATTACHMENT 1(e)

<b>EXPLANATIONS OF RELEVANCY OF REFERENCES</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1405.1023	09/628,190
	FIRST NAMED INVENTOR	
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	FILING DATE	GROUP PART UNIT
	July 28, 2000	2157

Page 2 of 3

Japanese Publication No. 09-212505	<p>Discloses a method to preset keywords set for each category and to determine a category based on a keyword in an inputted text (0016).</p> <p>[0016]</p> <p>Fig. 3 shows a flow chart showing control steps of a text processing device. Text categorizing unit 105 performs a text categorizing process and categorizes an inputted text (Step S301). The text categorizing process assigns a category to a text and any method which is generally adopted may be employed. For example, it may be performed by presetting keywords set for each category and determining a category based on a keyword in an inputted text.</p>
Japanese Publication No. 11-96101 (Corresponding to US2001/0011273)	<p>Discloses that a chat server records the number of utterances, number of times of using discriminative words, number of slanders against or aspersions on others, etc. into a behavior record data base.</p> <p>See [0038] and [0044] in US2001/0011273 A1</p>

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**ATTACHMENT 1(e)**

<b>EXPLANATIONS OF RELEVANCY OF REFERENCES</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1405.1023	09/628,190
	FIRST NAMED INVENTOR	
	Yasuhide MATSUMOTO et al.	
	FILING DATE	GROUP ART UNIT
	July 28, 2000	2157

Page 3 of 3

Zoku Dennomou Kohikan, C. Magazine, SoftBank Corp., Vol. 9, No. 11, November 1, 1997, pp. 77- 86	This non-patent document discloses a configuration in which a conversation history is stored (i.e. chat system with a log storing function) (P79).
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## ATTACHMENT 1(g)

<b>LIST OF ADDITIONAL SUBMITTED DOCUMENTS</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1405.1023	09/628,190
	FIRST NAMED INVENTOR	
	Yasuhide MATSUMOTO et al.	
	FILING DATE	GROUP/ART UNIT
	July 28, 2000	2157

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR §1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application; and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AC							
	AD							

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

							TRANSLATION YES NO	
	AE	Japanese Office Action issued on August 2, 2005 by the Japanese Patent Office in Japanese Application no. 11-270652 corresponding to the present above-identified pending US patent application, (5 pages)						X

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	